

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/930119
				Filing Date	8/15/2001
				First Named Inventor	Schofield
				Art Unit	2838 2143
				Examiner Name	PW4
Sheet	1	of	1	Attorney Docket Number	13072BAUS02U 120-174

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Issue Date MM- DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
JH		US-5,889,600	03/30/1999	McGuire	RECEIVED APR 17 2003 Technology Center 260
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³ - Number ² - Kind Code ⁵ (if known)				
		IPER for PCT/US01/25520		Nortel Networks Ltd.		

Examiner Signature	<i>John P. ...</i>	Date Considered	2/11/05
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.
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Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Application Number				09/930,119	
Filing Date				August 15, 2001	
First Named Inventor				SCHOFIELD, Bruce A.	
Art Unit				2885 2143	
Examiner Name				Unassigned PWU	
Attorney Docket Number				13072BAUS02U	
Sheet	1	of	1		

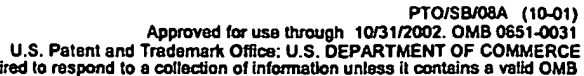
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Examiner Signature		Date Considered	2/11/05
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Sheet 1 of 1

Application Number	09/930,119
Filing Date	August 15, 2001
First Named Inventor	SCHOFIELD, Bruce A.
Art Unit	2855 2143
Examiner Name	Unassigned PWL
Attorney Docket Number	13072BAUS02U

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1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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08/15/01

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schofield, et al. Atty Dkt: 2204/A92
Serial No: Not yet assigned Date: August 15, 2001
Date Filed: Herewith
Invention: System, Device, and Method for managing Communication Services in an Optical Communication System

Commissioner for Patents
Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

Init. No. Name

AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
AB "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.
AC Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et. al., February 2000.
AD Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
AE "Architecture for the Automatic Switched Optical Network" First Draft of G.ason, Mayer, September 13, 2000 - September 15, 2000.
AF "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
AG Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
AH "ASON - Requirements at the Client API", Newsome, et. al., September 2000.
AI "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
AJ "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: <http://www.networkmagazine.com/article/NMG20000426S0015>.

Examiner: [Signature]

Date Considered: 2/14/05

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